



# **Large Scale Character Classification**

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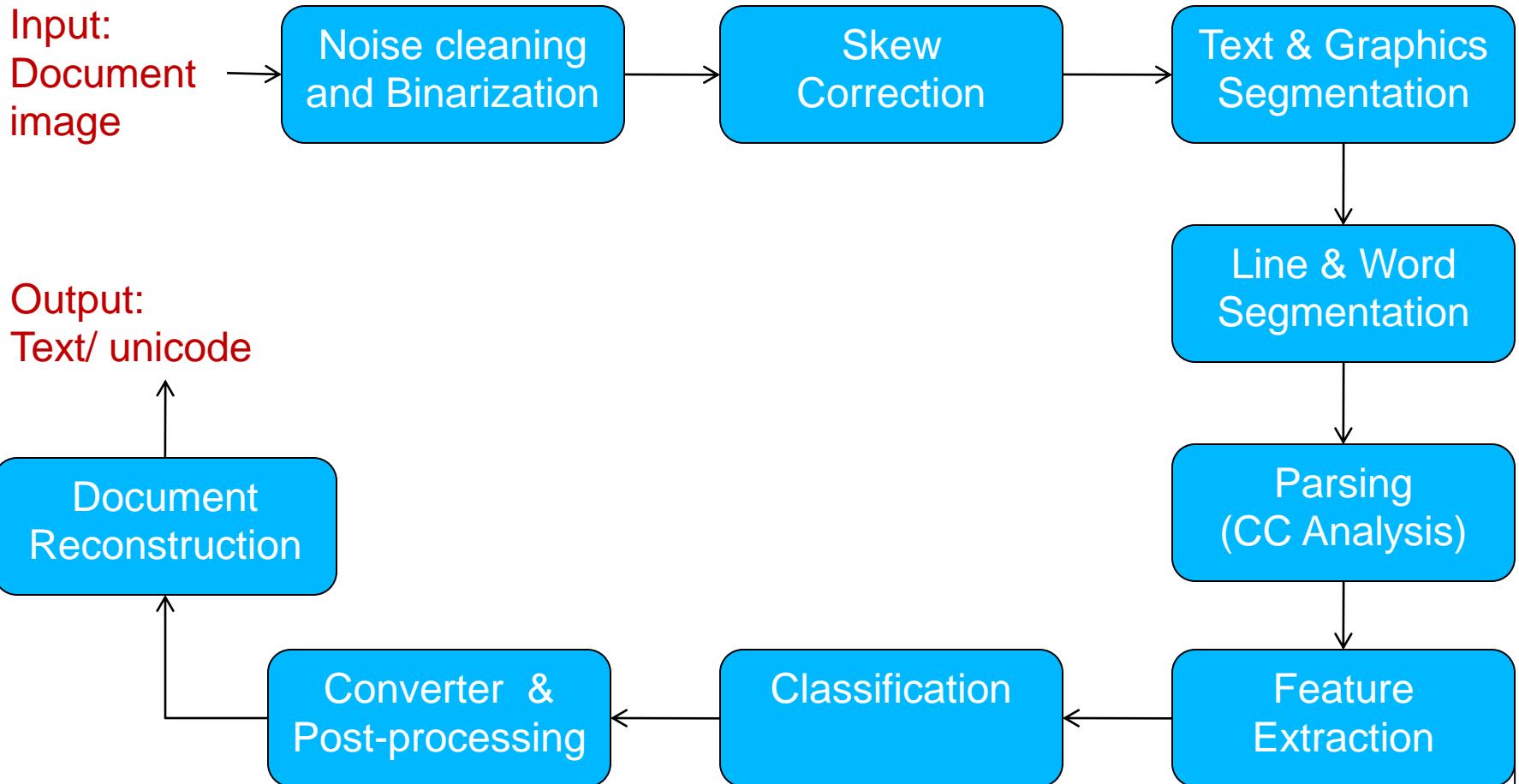


# Pattern Classification

- Given a sample  $x$ .
  - Find the label corresponding to it.
- A classifier is an algorithm, which takes  $x$  and returns the label between 1 to  $N$ .
  - Binary Classification        --  $N = 2$
  - Multiclass classification   --  $N > 2$
- Evaluation is usually done as probability of correct classification.



# Overall architecture of an OCR system





# Focus of this Thesis

- Classification of characters/patterns for a large class (number of classes in the order of hundreds) problem.
- We choose character recognition for an Indic language, Malayalam, as our area.
- However, our methods are highly generic (language and script independent).
- Conducted experiments on a large real dataset.



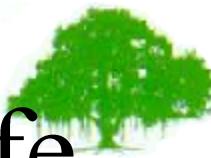
# Challenges for Indic OCR

- Large number of characters.
- Large number of similar/confusing characters.
- Complex character graphemes.
- Unicode/display/font related issues.
- Variation in glyph of a character with change in font/style.
- Lack of standard databases, statistical information and benchmarks for testing.
- Lack of well developed language models.
- Quality of documents in terms of paper quality, print quality, age of document, the resolution of scanning.
- Appearance of foreign or unknown symbols.



# Challenges Specific to Malayalam Script

- Non-Standard Font Design.
- Script Variations.
- Representation Issues.
- Compound Words and Dictionaries.

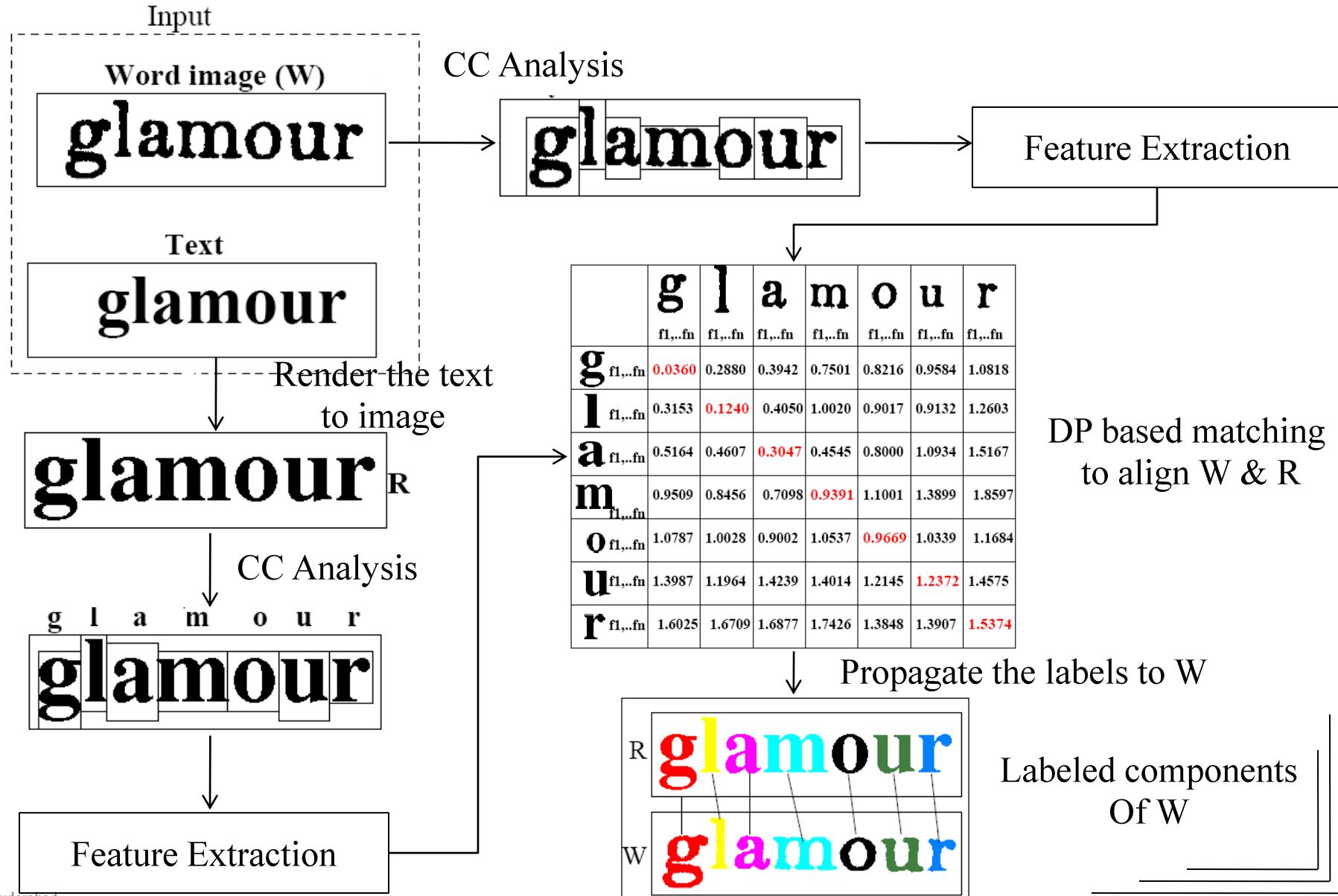


# Building Datasets from Real Life Documents

- Large dataset for training and testing OCR.
- Symbol level annotated data.
- Challenges
  - Degradation : Cuts, merges, spurious noise etc.
  - Language specific issues.
  - Font and Unicode related issues
- Our Solution :
  - Dynamic Programming based word alignment algorithm.



# Alignment of an English Word



# Algorithm to align Indic Scripts



1. Input: Word image  $W$  and the corresponding Unicode/text from annotation.
2. Convert the Unicode to the class labels using a map file MAP.
3. Reorder the symbols, using the language rules in RULES file.
4. Render the symbols to get a word  $R$ , and label each symbol with the corresponding class label.
5. Find the connected components in the original word image.
6. Initialize the dynamic programming table  $D$  of size  $m \times n$ , where  $(m - 1)$  and  $(n - 1)$  are the no. of connected components in  $R$  and  $W$  respectively.
7. Fill each cell,  $D(i, j)$  in the table using the following equation.

$$D(i, j) = \min \begin{cases} D(i - 1, j - 1) + MC(R_i, W_j) \\ D(i - 1, j) + MC((R_{i-1}, R_i), W_j) \\ D(i, j - 1) + MC(R_i, (W_{j-1}, W_j)) \end{cases}$$

where,  $MC(R_i, W_j)$  is the matching Cost of symbol  $R_i$  in the text(rendered as image) with symbol  $W_j$  in the original image.

8. Get the matching String by reconstructing the path, by following the minimum cost path.
9. Propagate the labels of symbols in  $R$  to  $W$ .

# Alignment of a Malayalam Word



Input



CC Analysis



Feature Extraction

Convert to symbols  
↓  
Reorder symbols

33; 51; 122; 52; 113; 107

MAP FILE  
RULES FILE

↓ Render the word image



R

CC Analysis  
Labels the CCs

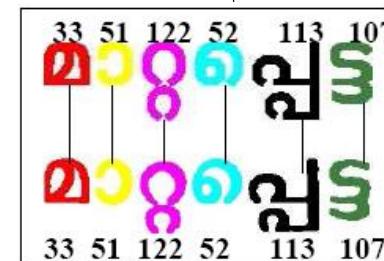


33 51 122 | 52 113 107

Feature Extraction

|      | മ      | ാ      | റ      | ്റ     | പ      | േ      | പ്പ    | െ      | ട്ട്   |
|------|--------|--------|--------|--------|--------|--------|--------|--------|--------|
|      | f1..fn |
| മ    |        |        |        |        |        |        |        |        |        |
| ാ    |        |        |        |        |        |        |        |        |        |
| റ    |        |        |        |        |        |        |        |        |        |
| ്റ   |        |        |        |        |        |        |        |        |        |
| പ    |        |        |        |        |        |        |        |        |        |
| േ    |        |        |        |        |        |        |        |        |        |
| പ്പ  |        |        |        |        |        |        |        |        |        |
| െ    |        |        |        |        |        |        |        |        |        |
| ട്ട് |        |        |        |        |        |        |        |        |        |

DP based  
Matching  
to align  
R and W



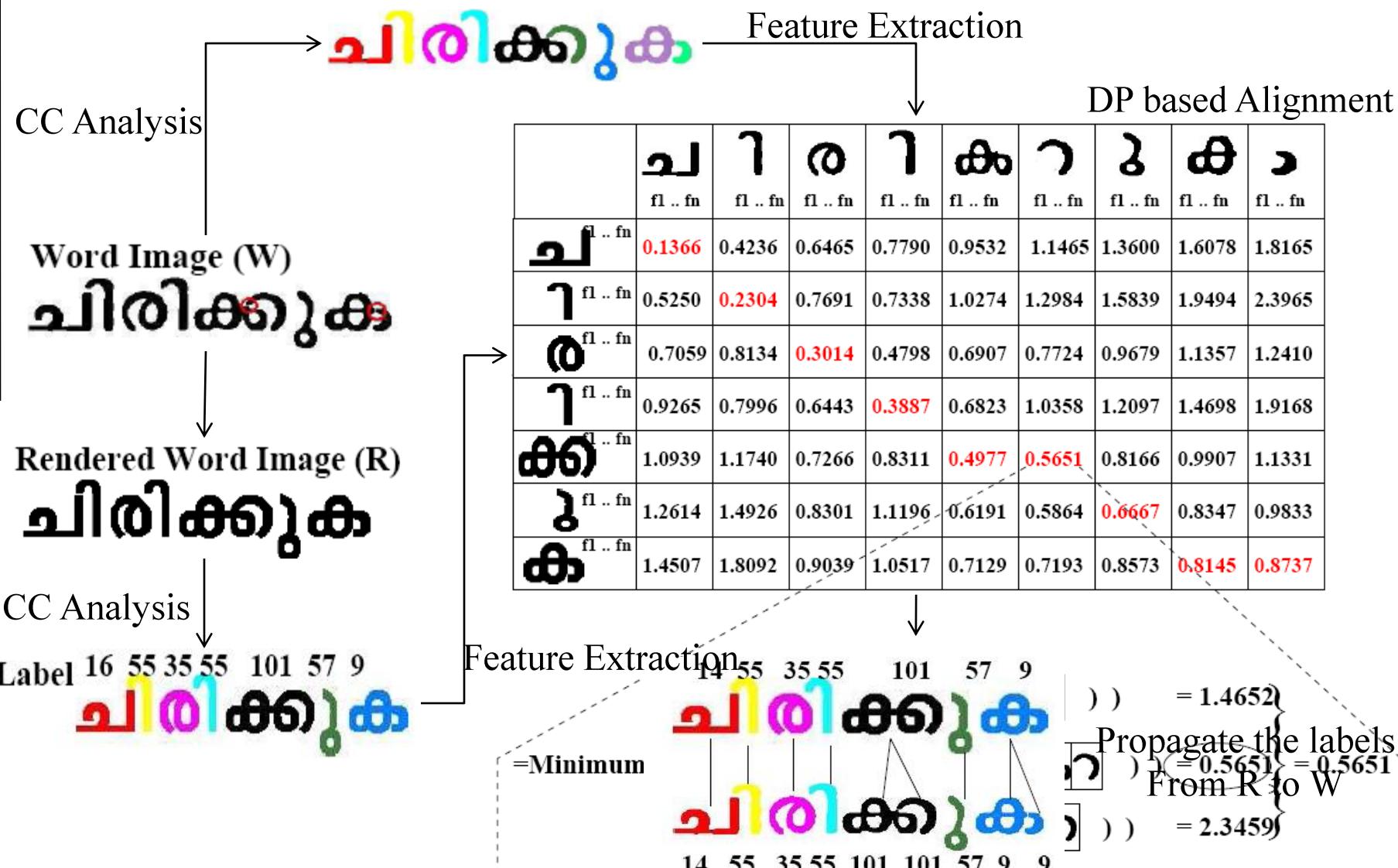


# Decision Making Rules in backtracking

|     | First | Second | R - 1 | R - 2 | Condition | Decision1 | Decision2 |
|-----|-------|--------|-------|-------|-----------|-----------|-----------|
| 1.  | M     | I      | M1    | M2    | $M1 < M2$ | M, N      | CUT       |
| 2.  | MM    | I      | M1    | M2    | $M1 < M2$ | MM/DS, N  | CUT       |
| 3.  | M     | D      | M1    | M3    | $M1 < M3$ | M, MS     | MERGE     |
| 4.  | MM    | D      | M1    | M3    | $M1 < M3$ | MM/DS, MS | MERGE     |
| 5.  | M     | IM     | M1    | M2    | $M1 < M2$ | M, N      | CUT       |
| 6.  | MM    | DM     | M1    | M3    | $M1 < M3$ | MM/DS, MS | MERGE     |
| 7.  | I     | M      | M1    | M2    | $M1 < M2$ | M, N      | CUT       |
| 8.  | I     | MM     | M1    | M2    | $M1 < M2$ | MM/DS, N  | CUT       |
| 9.  | D     | M      | M1    | M3    | $M1 < M3$ | M, MS     | MERGE     |
| 10. | D     | MM     | M1    | M3    | $M1 < M3$ | MM/DS, MS | MERGE     |
| 11. | IM    | M      | M1    | M2    | $M1 < M2$ | M, N      | CUT       |
| 12. | DM    | MM     | M1    | M3    | $M1 < M3$ | MM/DS, MS | MERGE     |

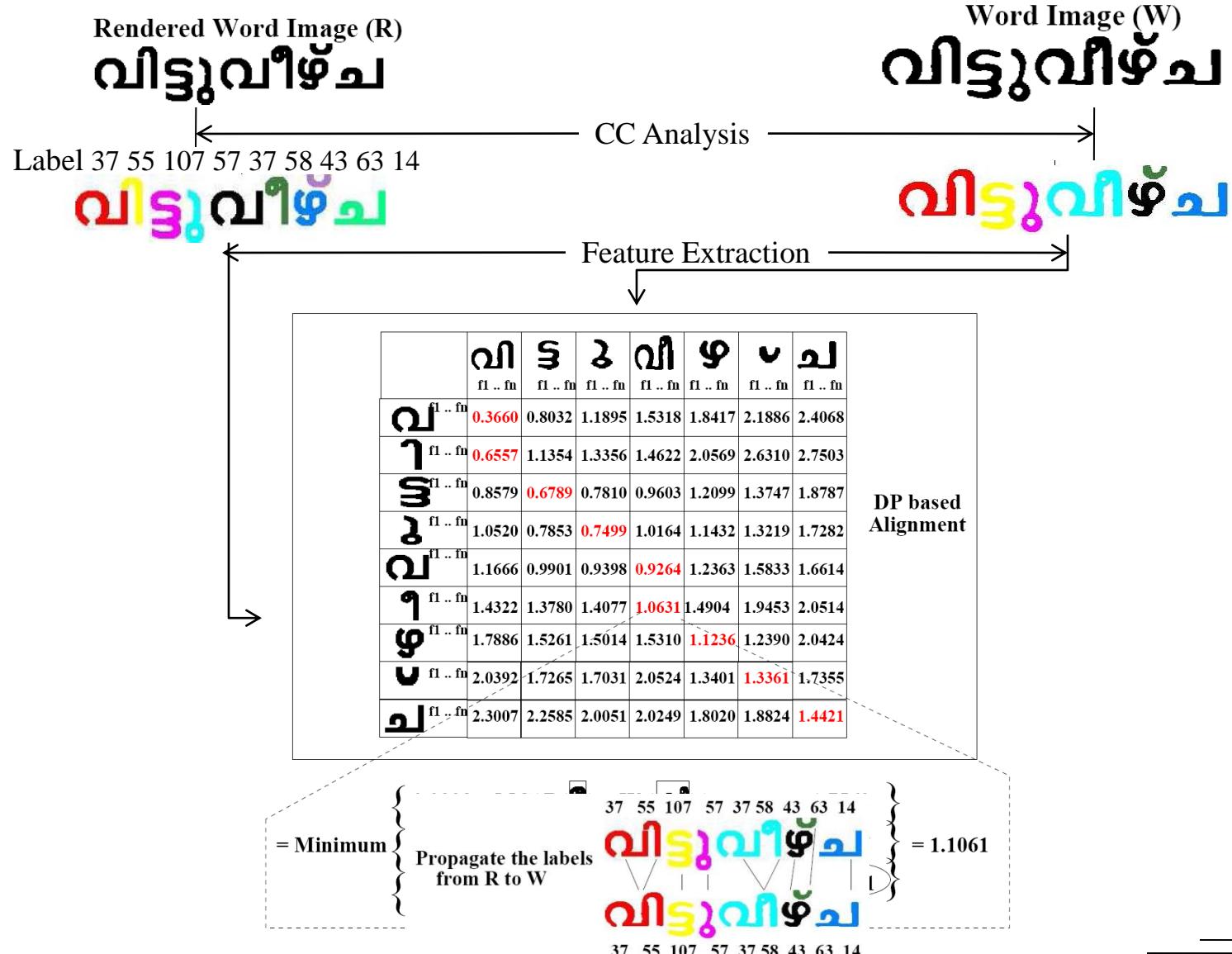


# Alignment of a word with two cuts





# Alignment of a word with two merges



# Statistics of Malayalam books used in the experiments



| S.No | Book Name            | # Pages | # Words | # Unicode | # Symbols |
|------|----------------------|---------|---------|-----------|-----------|
| 1    | Indulekha            | 235     | 46281   | 423850    | 321470    |
| 2    | ValmikiRamayanam     | 170     | 31360   | 293602    | 228188    |
| 3    | Sarada               | 156     | 32897   | 300353    | 235791    |
| 4    | Sanjayan             | 36      | 4079    | 35914     | 28661     |
| 5    | Hitlerude Athmakadha | 87      | 16403   | 166307    | 125658    |
| 6    | BhagatSingh          | 284     | 57252   | 489534    | 458016    |
| 7    | Ramarajabahadoor     | 440     | 81021   | 283497    | 664836    |
| 8    | Thiruttu             | 86      | 15654   | 143654    | 117403    |
| 9    | Dharmaraja           | 421     | 95931   | 947419    | 897449    |
| 10   | IniNjanUrangatte     | 168     | 39785   | 375877    | 277257    |
| 11   | ViddhikaluteSwargam  | 69      | 8793    | 77826     | 62396     |
| 12   | Janmadinam           | 93      | 12112   | 110763    | 86269     |
|      | Total                | 2245    | 441568  | 3648596   | 3503394   |

# Unigram and Bigram statistics



- Obtained the Unigram and Bigram statistics in Malayalam as a by-product of word alignment.

| S.No | Char | Unigram | S.No | Char | Unigram |
|------|------|---------|------|------|---------|
| 1.   | ി    | 0.0812  | 11.  | ം    | 0.0271  |
| 2.   | ഒ    | 0.0777  | 12.  | ഃ    | 0.0262  |
| 3.   | എ    | 0.0746  | 13.  | ഡ    | 0.0242  |
| 4.   | ഇ    | 0.0399  | 14.  | ഉ    | 0.0233  |
| 5.   | ഔ    | 0.0339  | 15.  | പ    | 0.0211  |
| 6.   | ഊ    | 0.0323  | 16.  | ന    | 0.0193  |
| 7.   | ക്ക  | 0.0305  | 17.  | ശ    | 0.0177  |
| 8.   | ം    | 0.0297  | 18.  | ക്കി | 0.0172  |
| 9.   | ന    | 0.0295  | 19.  | ത്ത  | 0.0164  |
| 10.  | ം    | 0.0292  | 20.  | സ    | 0.0151  |

| S.No | Char Pair | S.No | Char Pair |
|------|-----------|------|-----------|
| 1.   | ി ം       | 11.  | ത്ത ന     |
| 2.   | ഒ ഔ       | 12.  | ം പ       |
| 3.   | എ ഡ       | 13.  | ഉ ത       |
| 4.   | ഇ സ       | 14.  | ഉ ഇ       |
| 5.   | ഔ ച       | 15.  | ന ന       |
| 6.   | ഊ ശ       | 16.  | ന യ       |
| 7.   | ക്ക ന     | 17.  | ന ക്കി    |
| 8.   | ക്ക ച     | 18.  | ം ന       |
| 9.   | ക്കി ശ    | 19.  | ം ഇ       |
| 10.  | ം യ       | 20.  | ം ച       |

# Empirical Evaluation of Character Classification Schemes

# Motivation

- Are the state of the art classifiers suitable/sufficient to solve Large Class problems ?
  - Most of the classifiers designed for smaller number of classes.
  - But a large number of real world problems are large class (in the order of hundreds) in nature.
- Will the character classification problem for Indian languages be solved successfully ?
  - Large number of classes.
  - Unavailability of bench-mark datasets.

# Focus of the Study

- **Experiment 1** : Comparison of classifiers and features.
- **Experiment 2** : Scalability of classifiers.
- **Experiment 3** : Richness in the feature space.
- **Experiment 4** : Sensitivity of features to degradation.
- **Experiment 5** : Generalization across fonts.
- **Experiment 6** : Applicability across scripts.

# Classifiers Used

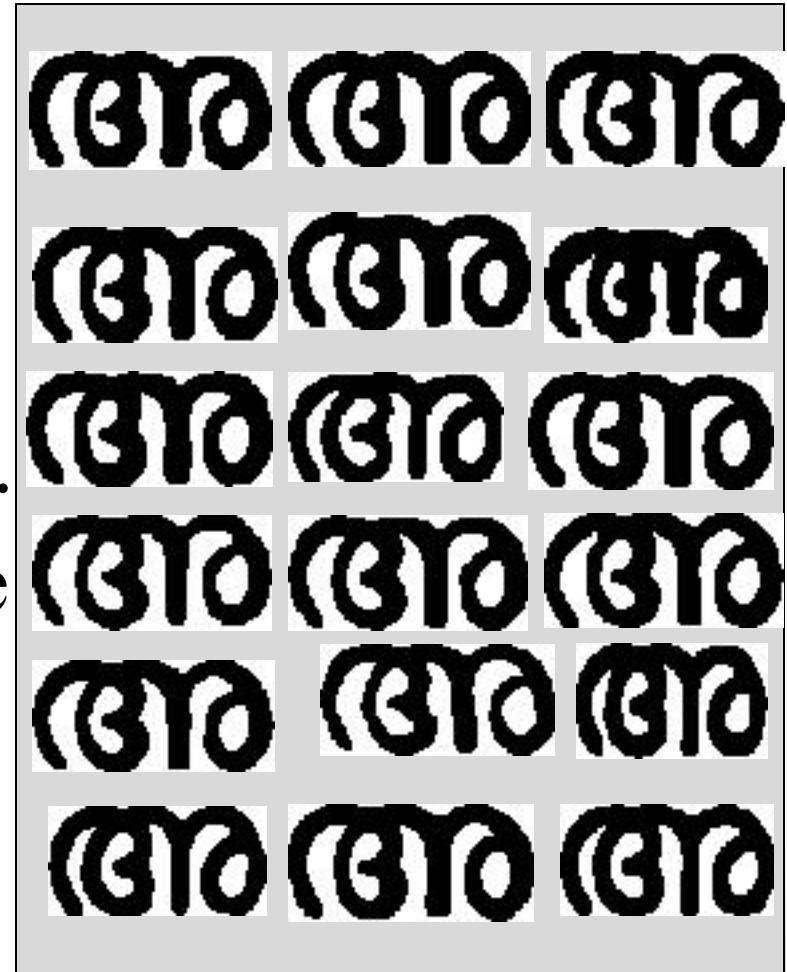
- Multi-layer Perceptron (MLP).
- Convolutional Neural Networks(CNN).
- K-Nearest Neighbour (KNN).
- Approximate Nearest Neighbour(ANN).
- SVM-Majority Voting (SVM-1).
- SVM-DDAG (SVM-2).
- Naive Bayes(NB).
- Decision Tree Classifier (DTC).

# Features Used

- Central Moment (CM).
- Zernike Moment (ZM).
- Discrete Cosine Transform(DCT).
- Discrete Fourier Transform(DFT).
- Principal Component analysis(PCA).
- Linear Discriminant Analysis(LDA).
- Random Projections (RP).
- Distance Transform (DT).
- Raw Image (IMG).

# Dataset Used

- From annotated books printed primarily in Malayalam.
- 5,00,000 real characters (Symbols) from 5 Books.
- Other scripts used for the experiments are : *Telugu and English.*
- Dataset Generation.



C. V. Jawahar and A. Kumar, .Content-level annotation of large collection of printed document images,. in *ICDAR*, pp. 799.803, 2007.

# Comparison of Classifiers and Features: Experimental Settings

- The focus of the study is to find out the set of classifiers and features that can be used to solve the problem successfully.
- Parameters of classifiers :-
  - MLP – no. of nodes in the hidden layer: 60, momentum: 0.6, no. of epochs: 30.
  - SVM –with linear kernel.
  - KNN and ANN – with  $K = 5$ .
- Scale size used :  $20 \times 20$ .
- Train : Test Ratio  $\Rightarrow 5:95$

# Comparison of Classifiers and Features: Results

| Feature | Dim | Classifiers |      |      |       |       |       |       |
|---------|-----|-------------|------|------|-------|-------|-------|-------|
|         |     | MLP         | KNN  | ANN  | SVM-1 | SVM-2 | NB    | DTC   |
| C.M     | 20  | 12.04       | 4.16 | 5.86 | 10.04 | 9.19  | 11.93 | 5.57  |
| DFT     | 16  | 8.35        | 8.96 | 9.35 | 7.88  | 7.86  | 15.33 | 13.85 |
| DCT     | 16  | 5.43        | 5.11 | 5.92 | 5.25  | 5.24  | 8.96  | 7.89  |
| ZM      | 47  | 1.30        | 1.98 | 2.34 | 1.24  | 1.23  | 3.99  | 8.04  |
| PCA     | 350 | 1.04        | 1.14 | 2.39 | 0.37  | 0.35  | 4.83  | 5.97  |
| LDA     | 350 | 0.55        | 0.52 | 1.04 | 0.35  | 0.34  | 3.20  | 4.77  |
| RP      | 350 | 0.33        | 0.50 | 0.74 | 0.34  | 0.34  | 3.12  | 8.04  |
| DT      | 400 | 1.94        | 1.27 | 1.98 | 1.84  | 1.84  | 4.28  | 2.20  |
| IMG     | 400 | 0.32        | 0.56 | 0.78 | 0.32  | 0.31  | 1.22  | 2.45  |

Error rates on Malayalam dataset.

Error rate using CNN : 0.93

# Comparison of Classifiers and Features: Observations

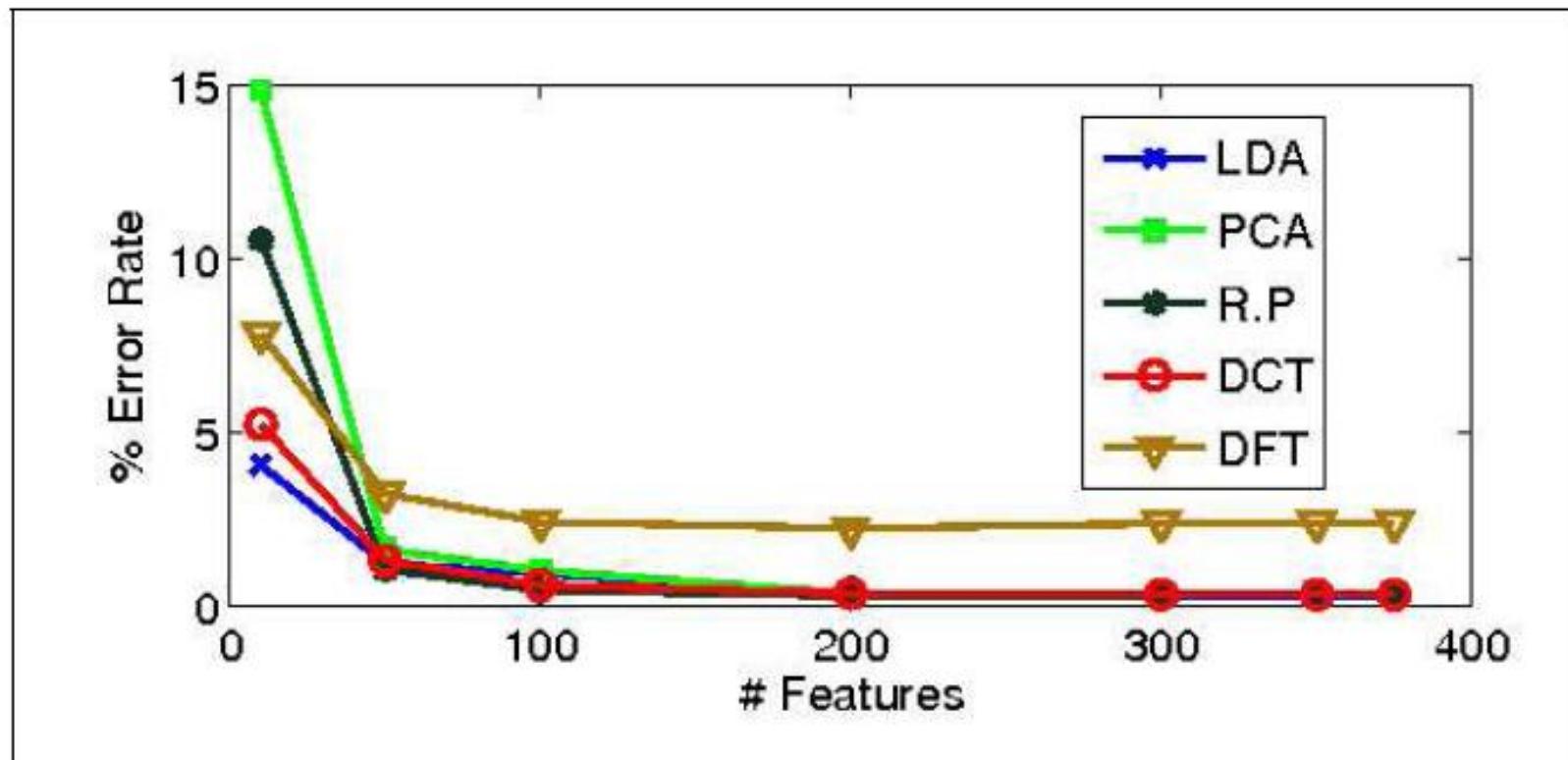
- SVM classifiers outperforms other classifiers, because of its high generalization capability.
- SVM-2 with a class of feature extraction techniques based on raw images and their projection on uncorrelated set of vectors resulted in the best performance.
- DTC and NB performed the worst of all.
- KNN performed moderately well, but with a higher computational requirement compared to SVM.

# Richness in the Feature Space:

## Experimental Settings

- What should be the ideal feature vector length for the problem to get solved successfully ?
- With a large number of features accuracy can be improved.
- We conducted the experiments by varying the feature vector length from 10 to 375.
- Features used for this study are, LDA, PCA, RP, DCT and DFT.

# Richness in the Feature Space: Results



**Error rates of SVM-2 classifiers with varying number of features.**

# Richness in the Feature Space: Observations

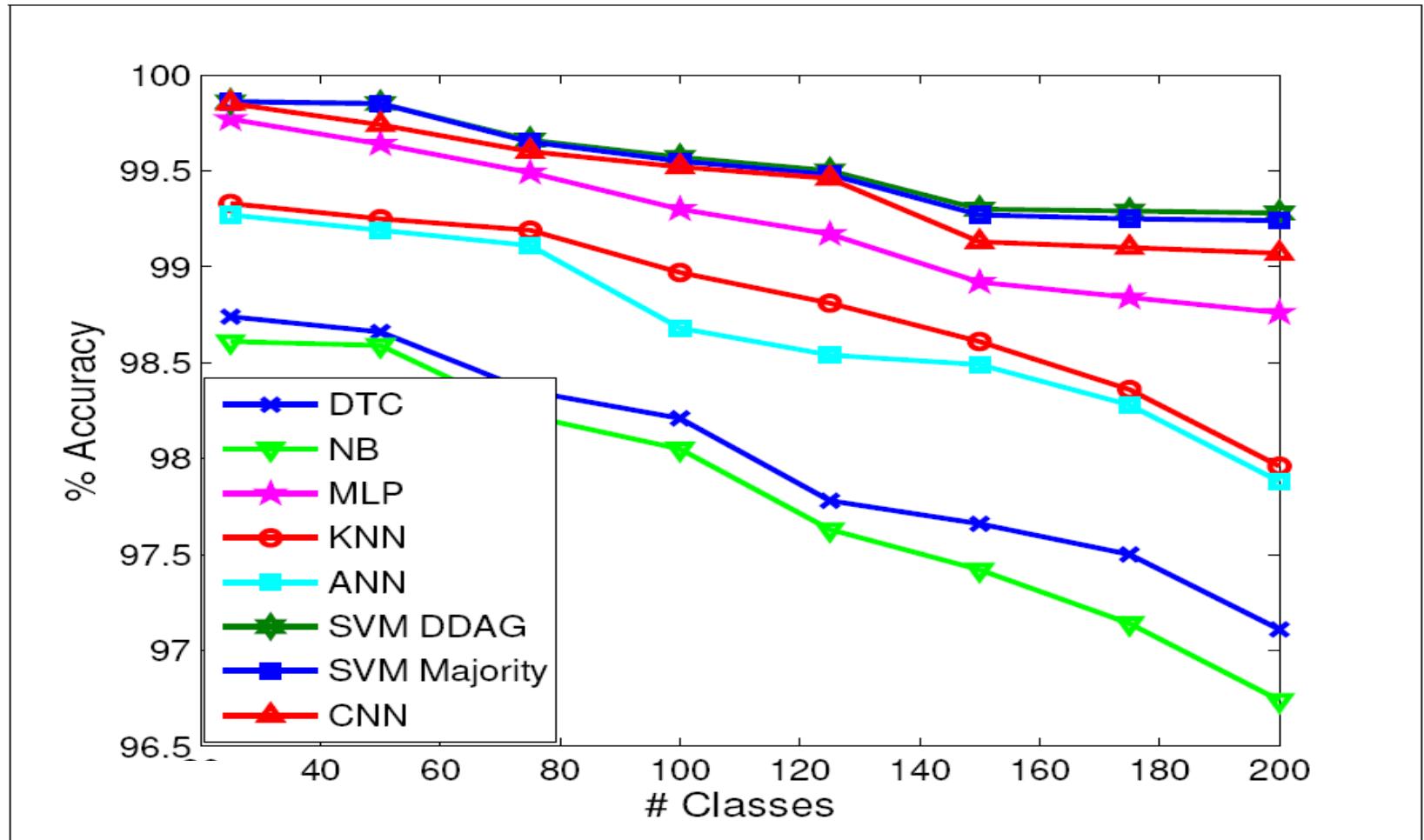
- Error rates rapidly decreases with the increase in number of features initially and then saturates after a point.
- When the number of features are small, LDA outperforms PCA.
- However with a large number of features PCA, LDA, RP performs more or less similarly.
- A rich feature space is needed to solve the classification problem successfully.

# Scalability of Classifiers:

## Experimental Settings

- Most of the publicly available datasets have small number of classes (in the order of a few tens).
- One of the major challenges in Indian language character recognition is the large number of classes (in the order of hundreds).
- How the performance of the classifiers effected with the increase in size of the problem (as the number of classes increases)?
- We conducted the experiments by varying the number of classes from 10 to 200.

# Scalability of Classifiers: Results



Accuracy of different classifiers Vs no. of classes, Feature used : LDA

# Scalability of Classifiers:

## Observations

- Performance of all the classifiers goes down as the number of classes increases.
- SVM classifiers degrade gracefully with the increase in size of the problem.  
(For 10 class problem, accuracy = 99.9, For 200 class problem = 99.3).
- The second best performing classifiers are Neural Networks.

# Degradation of Characters :

## Experimental Settings

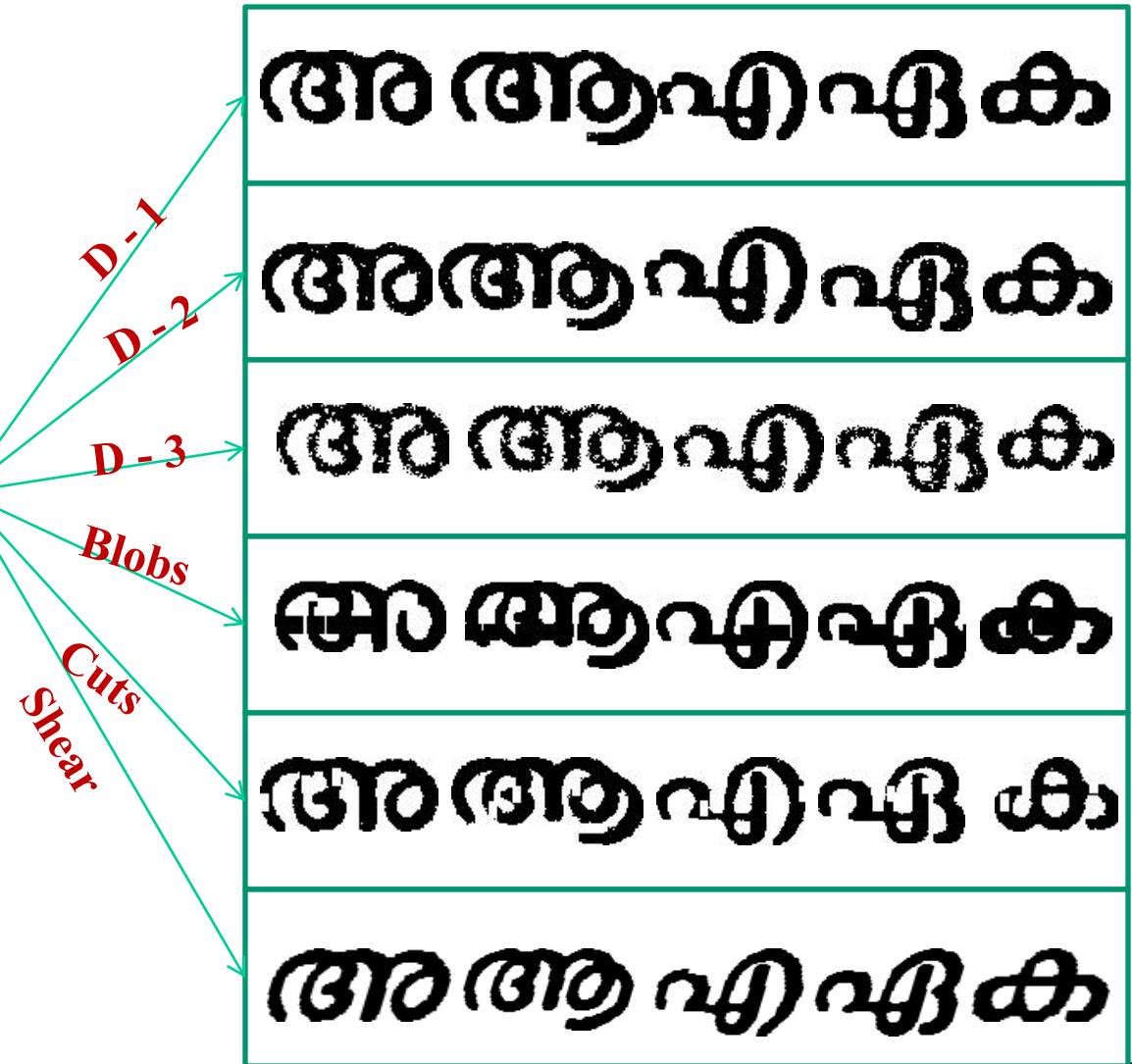
- Characters in a real document are generally degraded.
- Most of the feature extraction techniques will have difficulties to extract the right features, in the presence of degradations.
- We modeled 6 degradations.
  - D1, D2, D3 (based on boundary erosions).
  - Cuts, Ink blobs, Shear.

Q. Zheng and T. Kanungo, .Morphological degradation models and their use in document image restoration,. in *ICIP*, pp. 193.196, 2001.

# Examples of degraded images



Images from dataset



## Experiment: 4

# Degradation of Characters: Results

| Feature    | D-1  | D-2  | D-3   | Blobs | Cuts  | Shear |
|------------|------|------|-------|-------|-------|-------|
| <b>C.M</b> | 9.45 | 9.46 | 10.97 | 16.28 | 12.33 | 30.07 |
| <b>DFT</b> | 7.89 | 7.93 | 7.98  | 26.70 | 8.73  | 18.90 |
| <b>DCT</b> | 5.71 | 5.72 | 6.07  | 19.80 | 7.93  | 16.46 |
| <b>ZM</b>  | 1.96 | 1.98 | 2.10  | 8.41  | 4.35  | 17.75 |
| <b>PCA</b> | 0.39 | 0.39 | 0.40  | 2.17  | 0.64  | 8.59  |
| <b>LDA</b> | 0.30 | 0.31 | 0.32  | 2.01  | 0.61  | 7.32  |
| <b>RP</b>  | 0.48 | 0.67 | 1.04  | 3.61  | 0.71  | 6.75  |
| <b>DT</b>  | 1.75 | 1.98 | 2.21  | 10.33 | 5.07  | 12.34 |
| <b>IMG</b> | 0.32 | 0.33 | 0.33  | 2.78  | 0.66  | 6.84  |

Error rates of different features on various degradations using SVM-2 classifier.

# Degradation of Characters :

## Observations

- Statistical features are reasonably insensitive to the small degradations (D1, D2 and D3).
- Features like DT, which works well with clean images fails with cuts and ink blobs in the character.
- A better performance is observed for features PCA, LDA, RP and even raw images(IMG) on degradation, compared to others.
- Shear is a more challenging problem, need more consideration in this aspect.

# Generalization Across Fonts:

## Experimental Settings

- How sensitive is the classifier performance on an unseen font ?
- The study included 5 popular fonts in Malayalam.
  - MLTTRevathi, MLTTKarthika, MLTTMalavika, MLTTAmbili, MLTTKaumudi.
- Train the classifier with 4 fonts and test on the 5th font.

# Generalization Across Fonts:

## Results and Observations

|    | Font -1 | Font -2 | Font -3 | Font - 5 | Font-4 |
|----|---------|---------|---------|----------|--------|
| S1 | 98.15   | 95.49   | 92.52   | 94.27    | 92.22  |
| S2 | 98.97   | 97.14   | 95.22   | 94.59    | 94.65  |

**Accuracies of SVM-2 classifier when trained with 4 fonts and tested on the 5<sup>th</sup> font. S1 : Dataset without degradation, S2: Dataset with degradation.**

- Generalization across fonts can be achieved by having a wide variety of fonts in the training set.
- A better performance can be achieved by adding a little degradation to the training data.

# Applicability across Scripts:

## Experimental Settings

- Can the previous experimental results be extended to other scripts ?
- Experiments conducted on Telugu and English scripts.
- Around 50,000 real character images from each scripts used for the experiments.

# Applicability across Scripts: Results

| Features | Telugu (350 class) |       | English (72 class) |       |
|----------|--------------------|-------|--------------------|-------|
|          | 20X20              | 40X40 | 20X20              | 40X40 |
| C.M      | 20.78              | 12.32 | 7.25               | 6.48  |
| DFT      | 8.45               | 5.48  | 2.04               | 1.12  |
| DCT      | 9.67               | 2.71  | 2.14               | 1.04  |
| ZM       | 15.71              | 6.71  | 5.37               | 3.31  |
| PCA      | 4.62               | 2.93  | 0.86               | 0.46  |
| LDA      | 2.56               | 1.67  | 0.29               | 0.23  |
| RP       | 2.49               | 1.66  | 0.28               | 0.23  |
| DT       | 3.48               | 3.17  | 0.98               | 0.87  |
| IMG      | 3.18               | 2.84  | 0.28               | 0.23  |

Error rates on Telugu and English Datasets, with SVM-2 classifier.

# Applicability across Scripts: Observations

- The conclusions on character classification are highly script/ language independent.
- More complex scripts can be approached with a richer feature space, which gives more discriminative features.

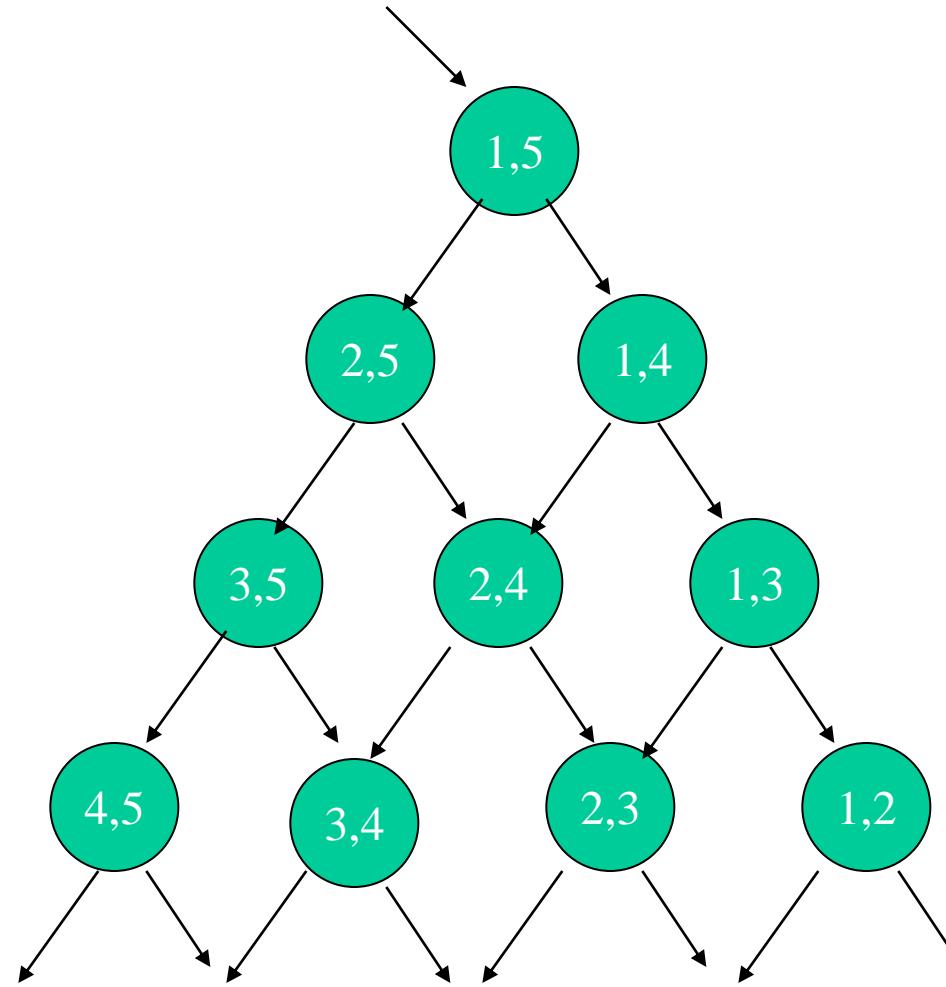


# Design and Efficient Implementation of Classifier for Large Class Problems

- SVMs are popular and accurate binary classifiers with high generalization capability.
- Direct multiclass extension of SVM is not attractive.
- Binary pair-wise classifiers combined using DAG or BHC architectures are generally used.
- For large classes, the solutions are not scalable.
- How to scale SVM solutions for large class classification, in terms of their space and computational requirements?



# Decision Directed Acyclic Graph (DDAG)



# Properties of Multi-class SVM with DDAG



- Each binary solution is independent.
- Space complexity  $\sim$  total number of SVs in the solution.

$$f(x) = \sum_{i=1}^r \alpha_i y_i K(x, s_i) + b.$$

- Time complexity for classifying a sample  $\sim$  number of SVs along the decision path it takes.
- As number of classes increases, the complexities increase multi-fold.
- For large classes, the solution becomes impractical.
- How to reduce the complexities without compromising on the accuracy?

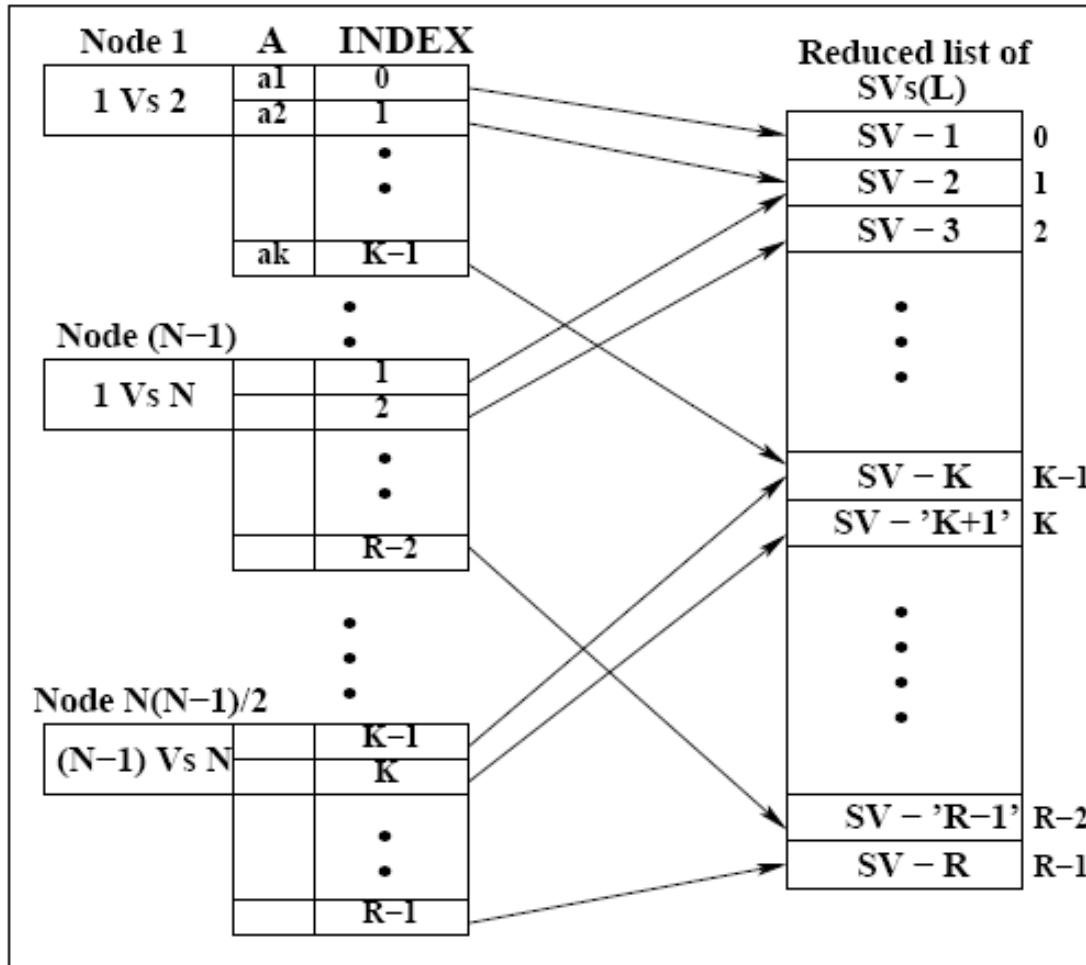


# Proposed Solution

- An effective and easy to implement data structure for efficiently storing SVs.
  - We call it Multiclass Data Structure (MDS).
  - Breaks the independence assumption – SVs are samples on class boundaries.
  - Exploits the redundancies in SVs across the pairwise classifiers.
  - As number of classes increases, the redundancy also increase.
- An algebraic method for simplifying hierarchical SVM solutions *exactly*.



# MDS: Multiclass Data Structure



- The kernel computation for a SV once computed is *reused in computing at other nodes.*



# MDS Vs IPI on UCI datasets

| Data set<br>Name        | Kernel<br>Type | No. of SVs |        |
|-------------------------|----------------|------------|--------|
|                         |                | IPI(S)     | MDS(R) |
| PenDigits<br>(10-class) | Linear         | 5788       | 2771   |
|                         | Poly.          | 3528       | 1777   |
|                         | RBF            | 67450      | 7494   |
| Letters<br>(26-class)   | Linear         | 113249     | 15198  |
|                         | Poly.          | 80553      | 12961  |
|                         | RBF            | 482975     | 18666  |

- The utility of MDS, increases with the size of the problem.
- With the use of MDS, we achieved 98.5% of reduction in SVs and 60% reduction in classification time .(using linear and polynomial kernels on a 300class data set in comparison to a naïve implementation.)



# Algebraic Exact Simplification

- ***Step 1: Multiclass extension***

- Apply *exact simplification proposed by T. Downs et al. in JMLR, 2001* to each node independently.
- Each node is reduced to a set of linearly independent support vectors.

- ***Step 2 : Hierarchical Exact Simplification (HES)***

- Union of two linearly independent sets need not be independent.
- Add SVs from nodes that are above in a decision path to the one below.
- Reduce the obtained extended set by exact simplification method.
- Apply *HES along each decision path independently*.



# Results with Algebraic Exact Simplification

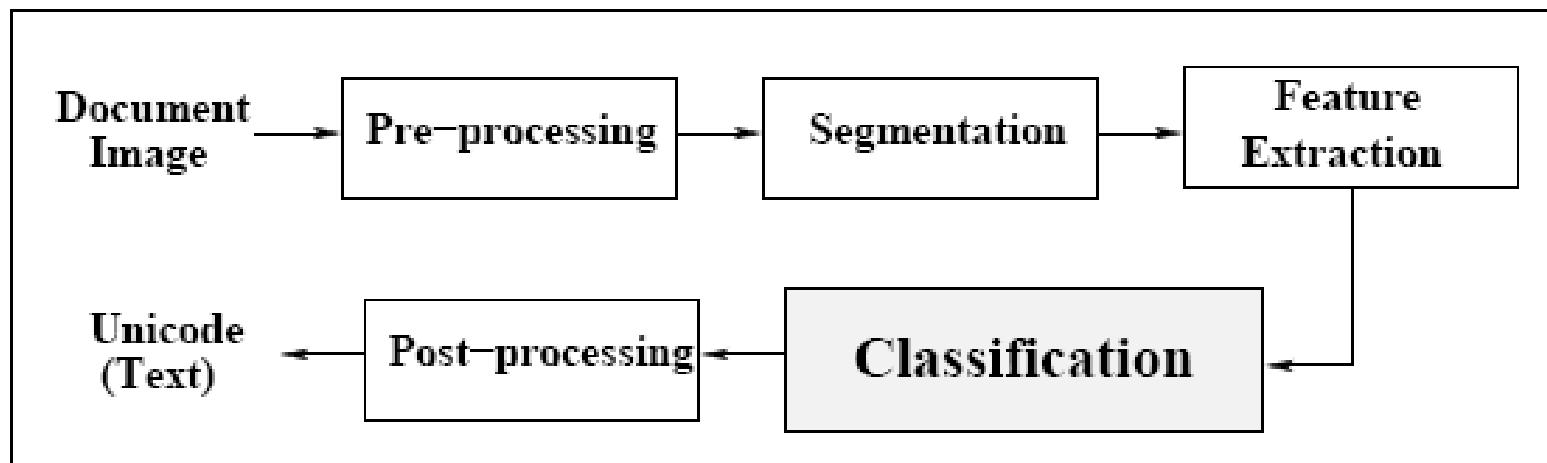
| Dataset<br>(# Class) | #Dim. | Reduction(%)  |               |         |
|----------------------|-------|---------------|---------------|---------|
|                      |       | <i>Step 1</i> | <i>Step 2</i> | Overall |
| PenDigits (10)       | 16    | 85.42         | 71.49         | 95.84   |
| Letters (26)         | 16    | 94.87         | 17.78         | 95.60   |
| OptDigits(10)        | 64    | 59.25         | 54.92         | 81.63   |
| Vowel(11)            | 10    | 76.89         | 68.90         | 92.81   |

Reduction in classification time (using linear kernel).

- With the use of HES the time complexity of multiclass problems can be reduced considerably.



# Character Classification for OCR





# Our OCR Design Specifications

- Used SVM with DDAG as classifier and binarized Pixel values as feature set.
- The training data for SVM is collected from the real dataset.
- No. of classes : 205
- Tweaked pre-processing routines to fit the Malayalam dataset.



# Performance Evaluation

- Performance Metrics

$$\text{Character Edit Distance (CER)} = \frac{\text{CharEditDistance}(C, O)}{|C|}$$

$$\text{Symbol Error Rate} = \frac{\text{No. of Misclassified and Recognizable Symbols}}{\text{Total No. of Recognizable Symbols}}$$

$$\text{Unicode Error Rate} = \frac{\text{No. of Misclassified Unicode}}{\text{Total No. of Unicode}}$$



# Symbol level and Unicode level Error Rates

| S.No | Book Name             | Symbols          |             | Unicode          |             |
|------|-----------------------|------------------|-------------|------------------|-------------|
|      |                       | # Total          | Error(%)    | # Total          | Error(%)    |
| 1.   | Indulekha             | 321470           | 1.70        | 423884           | 4.80        |
| 2.   | ValmikiRamayanam      | 228188           | 0.94        | 293822           | 2.82        |
| 3.   | Sarada                | 235791           | 2.76        | 299056           | 3.92        |
| 4.   | Sanjayan              | 28661            | 3.34        | 35668            | 4.59        |
| 5.   | Hitlerude Athmakadha  | 125658           | 1.23        | 163863           | 2.95        |
| 6.   | BhagatSingh           | 458016           | 3.11        | 489534           | 6.39        |
| 7.   | Ramarajabahadoor      | 216744           | 2.12        | 268653           | 4.38        |
| 8.   | Thiruttu              | 117403           | 3.88        | 143582           | 5.71        |
| 9.   | Dharmaraja            | 897449           | 2.35        | 947419           | 5.82        |
| 10.  | IniNjanUrangatte      | 277257           | 1.71        | 315259           | 3.74        |
| 11.  | ViddhikaluteSwargam   | 62396            | 3.34        | 74719            | 6.89        |
| 12.  | Janmadinam            | 86269            | 2.41        | 108881           | 4.98        |
|      | <b>Total/ Average</b> | <b>30,55,302</b> | <b>2.40</b> | <b>35,64,340</b> | <b>4.74</b> |

# Unicode level Error Rates



| S.No | Book Name             | Edit Dist   | Substitution | Inserts     | Delets      |
|------|-----------------------|-------------|--------------|-------------|-------------|
| 1.   | Indulekha             | 4.80        | 2.13         | 2.00        | 0.98        |
| 2.   | ValmikiRamayanam      | 2.82        | 1.49         | 0.79        | 0.65        |
| 3.   | Sarada                | 3.92        | 2.10         | 1.22        | 0.89        |
| 4.   | Sanjayan              | 4.59        | 2.31         | 1.09        | 1.57        |
| 5.   | Hitlerude Athmakadha  | 2.95        | 1.35         | 0.70        | 0.94        |
| 6.   | BhagatSingh           | 6.39        | 3.94         | 3.18        | 1.19        |
| 7.   | Ramarajabahadoor      | 4.38        | 2.57         | 1.95        | 0.80        |
| 8.   | Thiruttu              | 5.71        | 4.92         | 2.53        | 1.26        |
| 9.   | Dharmaraja            | 5.82        | 1.43         | 1.68        | 1.30        |
| 10.  | IniNjanUrangatte      | 3.74        | 1.89         | 0.75        | 1.19        |
| 11.  | ViddhikaluteSwargam   | 6.89        | 2.45         | 1.29        | 2.75        |
| 12.  | Janmadinam            | 4.98        | 1.80         | 0.82        | 2.24        |
|      | <b>Total/ Average</b> | <b>4.44</b> | <b>2.60</b>  | <b>1.68</b> | <b>1.03</b> |



# Word Level Error Rates

- **Accuracy on all the words**

$$\text{Word level Accuracy} = \frac{\text{No. of Correct Words}}{\text{Total No. of Words}}$$

- **Accuracy on recognizable words (Words with no degradations)**

$$\text{Word level Accuracy} = \frac{\text{No. of Correct or Recognizable Words}}{\text{Total No. of Recognizable Words}}$$



# Word Level Results



| S.No | Book Name             | # Total       | %Accuracy    | # Good        | %Accuracy    |
|------|-----------------------|---------------|--------------|---------------|--------------|
| 1.   | Indulekha             | 46281         | 80.70        | 39644         | 94.22        |
| 2.   | ValmikiRamayanam      | 31360         | 90.30        | 29339         | 94.22        |
| 3.   | Sarada                | 32897         | 72.10        | 26671         | 88.93        |
| 4.   | Sanjayan              | 4079          | 70.63        | 3224          | 89.36        |
| 5.   | Hitlerude Athmakadha  | 16403         | 81.32        | 14291         | 93.33        |
| 6.   | BhagatSingh           | 57252         | 51.32        | 35246         | 80.12        |
| 7.   | Ramarajabahadoor      | 81021         | 53.84        | 52047         | 83.81        |
| 8.   | Thiruttu              | 15654         | 59.74        | 10553         | 88.61        |
| 9.   | Dharmaraja            | 95931         | 51.83        | 65427         | 86.58        |
| 10.  | IniNjanUrangatte      | 39785         | 81.82        | 34822         | 93.48        |
| 11.  | ViddhikaluteSwargam   | 8793          | 63.18        | 6435          | 86.34        |
| 12.  | Janmadinam            | 12112         | 77.27        | 10326         | 90.63        |
|      | <b>Total/ Average</b> | <b>441568</b> | <b>69.50</b> | <b>328025</b> | <b>89.13</b> |



# Word Level Results



| S.No | Book Name             | # Total       | 0 Error      | 1 Error     | 2 Errors    |
|------|-----------------------|---------------|--------------|-------------|-------------|
| 1.   | Indulekha             | 46281         | 19.29        | 6.90        | 4.69        |
| 2.   | ValmikiRamayanam      | 31360         | 9.70         | 2.71        | 4.12        |
| 3.   | Sarada                | 32897         | 27.89        | 9.17        | 7.75        |
| 4.   | Sanjayan              | 4079          | 29.36        | 6.47        | 9.70        |
| 5.   | Hitlerude Athmakadha  | 16403         | 18.67        | 8.90        | 5.35        |
| 6.   | BhagatSingh           | 57252         | 48.67        | 12.42       | 13.46       |
| 7.   | Ramarajabahadoor      | 81021         | 46.15        | 8.39        | 13.12       |
| 8.   | Thiruttu              | 15654         | 40.25        | 4.22        | 16.60       |
| 9.   | Dharmaraja            | 95931         | 48.16        | 13.16       | 14.96       |
| 10.  | IniNjanUrangatte      | 39785         | 18.17        | 6.11        | 6.33        |
| 11.  | ViddhikaluteSwargam   | 8793          | 36.81        | 8.43        | 6.96        |
| 12.  | Janmadinam            | 12112         | 22.72        | 8.99        | 5.30        |
|      | <b>Total/ Average</b> | <b>441568</b> | <b>30.48</b> | <b>7.98</b> | <b>9.02</b> |

# Page Level Results



| S.No | Book Name             | #Total      | Avg.        | $\leq 2\%$  | $2 - 5\%$    | $5 - 10\%$   | $> 10\%$    |
|------|-----------------------|-------------|-------------|-------------|--------------|--------------|-------------|
| 1.   | Indulekha             | 235         | 4.80        | 0.42        | 70.63        | 26.38        | 2.55        |
| 2.   | ValmikiRamayanam      | 170         | 2.82        | 31.76       | 57.64        | 4.11         | 6.47        |
| 3.   | Sarada                | 156         | 3.92        | 6.41        | 75.00        | 14.74        | 3.20        |
| 4.   | Sanjayan              | 36          | 4.59        | 5.55        | 55.55        | 30.55        | 5.55        |
| 5.   | Hitlerude Athmakadha  | 87          | 2.94        | 3.44        | 89.65        | 2.29         | 3.44        |
| 6.   | BhagatSingh           | 284         | 7.39        | 0           | 29.92        | 52.46        | 16.90       |
| 7.   | Ramarajabahadoor      | 440         | 4.38        | 7.80        | 68.79        | 20.56        | 2.83        |
| 8.   | Thiruttu              | 86          | 5.71        | 2.32        | 52.32        | 30.23        | 15.11       |
| 9.   | Dharmaraja            | 421         | 5.85        | 0.23        | 56.53        | 33.96        | 8.78        |
| 10.  | IniNjanUrangatte      | 168         | 3.74        | 4.61        | 66.15        | 11.28        | 1.02        |
| 11.  | ViddhikaluteSwargam   | 69          | 6.73        | 0           | 33.33        | 57.97        | 7.24        |
| 12.  | Janmadinam            | 93          | 4.97        | 0           | 69.89        | 20.43        | 9.67        |
|      | <b>Total/ Average</b> | <b>2245</b> | <b>4.82</b> | <b>5.21</b> | <b>60.45</b> | <b>25.41</b> | <b>6.89</b> |



# Summary of Results on 12 Malayalam Books

|                    | Total     | Error Rate |
|--------------------|-----------|------------|
| Symbols            | 30,55,302 | 2.40       |
| Unicode            | 35,64,340 | 4.74       |
| All Words          | 4,41,568  | 30.50      |
| Recognizable Words | 3,28,025  | 10.87      |
| Page Level         | 2,245     | 4.82       |

# Comparison with Nayana



| S.No | Book Name             | No. of Pages | Edit Distance |             | Substitution Err. |             |
|------|-----------------------|--------------|---------------|-------------|-------------------|-------------|
|      |                       |              | Nayana        | Ours        | Nayana            | Ours        |
| 1.   | Indulekha             | 10           | 13.55         | 2.32        | 5.62              | 1.08        |
| 2.   | ValmikiRamayanam      | 7            | 13.03         | 2.04        | 4.28              | 0.97        |
| 3.   | Sanjayan              | 10           | 34.48         | 2.76        | 19.18             | 1.03        |
| 4.   | Hitlerude Athmakadha  | 8            | 13.96         | 2.66        | 4.9               | 1.05        |
| 5.   | BhagatSingh           | 6            | 9.72          | 2.63        | 3.97              | 1.31        |
| 6.   | Ramarajabahadoor      | 10           | 12.19         | 3.02        | 4.05              | 1.41        |
| 7.   | Thiruttu              | 10           | 13.44         | 3.91        | 6.17              | 2.11        |
| 8.   | Dharmaraja            | 9            | 10.33         | 2.52        | 4.19              | 1.31        |
| 9.   | IniNjanUrangatte      | 9            | 9.49          | 1.91        | 4.23              | 1.02        |
| 10.  | ViddhikaluteSwargam   | 3            | 13.73         | 4.72        | 4.86              | 1.51        |
| 11.  | Janmadinam            | 5            | 15.98         | 3.89        | 5.2               | 1.47        |
|      | <b>Total/ Average</b> | <b>87</b>    | <b>15.34</b>  | <b>2.81</b> | <b>6.64</b>       | <b>1.28</b> |



# Results on Scanned Quality A documents

| Font Name | 8    | 10   | 12   | 14   |
|-----------|------|------|------|------|
| Amibili   | 2.00 | 0.90 | 1.92 | 0.88 |
| Karthika  | 2.87 | 1.52 | 0.70 | 0.28 |
| Lohit     | 3.86 | 1.29 | 2.27 | 1.11 |
| Nila      | 2.43 | 1.22 | 1.53 | 0.54 |
| Revathi   | 2.57 | 1.12 | 0.57 | 0.24 |
|           |      |      | 1.08 | 0.53 |
|           |      |      |      | 0.74 |
|           |      |      |      | 0.30 |



# Results: Example 1

28 • ശാരദ •

തന്നെയാണ്. എന്നാൽ നിൻ്റെ വീട്ടിലേക്കു പ്രവൃത്തം ഉണ്ടാക്കുകാണ്  
എൻ്റെ ജാതിക്കാർ സമത്രമായി നടന്നുവരാറില്ല.

ശാരദ:—എന്താണപ്പറ്റ പ്രവൃത്തം എന്നുവച്ചാൽ? മുഖ്യമാക്കി  
രാമൻമേനോൻ:—രാജ്യം വാണു മനുഷ്യരെ ശിക്ഷാരക്ഷ ചെയ്തി  
രുന്നു മുമ്പുള്ള നിൻ്റെ കാരണവന്നാർ. ഇപ്പോൾ അതൊന്നുമില്ലെങ്കി  
ല്ലോ. ഈ അവസ്ഥ മുമ്പ് ഉണ്ടായിരുന്നതിനാൽ നിൻ്റെ തറവാട്ടിലേക്കു  
പ്രവൃത്തമുണ്ടായും പറഞ്ഞതാണ്.

ശാരദ:—അതേ ഉള്ള, അല്ലോ? എൻ്റെ വീട്ടിൽനിന്നു പതിനഞ്ചു  
കാതം ദുരുതയാണ് അപ്പേക്ഷാൻറെ വിട്. പിന്നെയോ?

രാമൻമേനോൻ:—ഞാൻ നിൻ്റെ അമ്മയെയും നിന്നേയും. ഒഴികെ  
നിൻ്റെ വീട്ടിൽ ഉള്ള വേരെ ആംരേയും. കണ്ണിട്ടില്ല. ആ തിക്കുകാർ ആരും.  
എനിക്കു പരിചയകാരായും. ഇല്ല. എൻ്റെ തറവാട്ട് വളരെ ഭാരിച്ചുഡശയിൽ  
പൂട്ട തറവാടായിരുന്നു. എൻ്റെ അമ്മയും. അപ്പേരുന്നു. ഞാൻ ചെറിയ  
വയസ്സായിരിക്കുന്നേം അഭിച്ഛു. എനിക്കു കൂടപ്പിറന്നവരായി  
ആരും. ഇല്ല. വകയിൽ രണ്ടുമുന്ന് അമ്മാമമ്മാർ ഉണ്ടായിരുന്നു. അവർക്ക്  
എന്നോടു സന്നഹിവും. അമ്മാ സന്നഹി. ഉണ്ടായിരുന്നുവെങ്കിൽത്തന്നെ  
എന്ന രക്ഷിപ്പാനുള്ള ശക്തിയും. ഉണ്ടായിരുന്നില്ല. എനിക്കു പതിനാ  
ലുവയല്ലോ പ്രായമായിരുന്നപോൾ ഞാൻ എൻ്റെ രാജ്യം. വിട്ട് ഇംഗ്ലീഷു  
പഠിക്കണമെന്നുള്ള താൽപര്യത്താൽ തിരുവന്നപുരം. എന്ന രാജ്യ  
തേക്കു പൊയ്ക്കളെണ്ണു. അതിനുശേഷം. ഇതുവരെ എൻ്റെ വീട്ടിലുാ  
രുടെ വർത്തമാനം. ധാതൊന്നും. ഞാൻ അറിഞ്ഞിട്ടില്ല. എൻ്റെ രാജ്യ  
തേക്കും. ഞാൻ കടന്നിട്ടില്ല.

Book name : Sarada

Error rate : 5.61%



# Results: Example 2

101 സന്ത്ജയൻ പദ്മിതങ്ങൾ

ആളുകൾ പിരിഞ്ഞുപോയപ്പോൾ പ്രസ്തുത ദയാലുവിനെപ്പറ്റി ഒരാൾ ചെക്കേനോട് ചോദിച്ചു:

“അദ്ദേഹത്തെ നിനക്കുന്നിയാമോ?”

‘അറിയാം: അധികാർണ്ണ പാൽക്കാരൻ! ’ എന്നായിരുന്നു ചെക്കേൻ്റെ മറുപടി.

11

**ചെ** രൂപക്കാരൻ കഴുതകളെ തെളിച്ചുവരുന്ന അലക്കുകാരനോട്:

“നിങ്ങൾ കഴുതകളുടെ അപ്പനാശനാ?”

അലക്കുകാരൻ : “അതേ മകനേ.”

12

**ന** ഹാര മരുഭൂമിയിൽ വെള്ളം വിട്ട കൃഷിനടത്തിക്കളിലെയാമെന്ന് ഒരു

ഡിനീയർ ഒരിക്കൽ വിചാരിച്ചിരുന്നുവെത്ര.

— അത് സാരമില്ല. മുനിസിപ്പാലിറ്റിയിലെ വൃത്തികേട് കുറച്ച് കളയാമെന്ന് കമ്മീഷണർ ആലോച്ചിച്ചിട്ടുണ്ടോ?

13

**ഡി** ചുരി : നോർവെ കടൽത്തീരത്തുള്ളവർ അധികവും മീൻപിടിത്തക്കാരാവാൻ കാരണമെന്ത്?

കുട്ടി : എനിക്കുന്നിയാം സാർ.

ഡിചുരി : പറയു!

കുട്ടി : അവർക്ക് വേരെ ജോലിയൊന്നും ഇല്ലാത്തതുകൊണ്ട്.

Book name : *Sanjayan*

Error rate : 26.84%

Sub. Error : 10.85%



# Results: Example 3

തിരുത്ത്

13

കിടക്കുന്ന വാർത്ത എടുത്തുതരുവാൻ ആംഗ്യം കാണിച്ചു. ചുല്യാർഥം സുഹർിയുടെ അടുത്തുചെന്ന് അവളുടെ നെറുകംതലയിൽ തലോടിക്കൊണ്ടു പറഞ്ഞു: “സുഹർ, ഒരു പെൻസിൽ തരു.”

മല്ലിക് മേശപ്പുരിത്തുകിടന്നിരുന്ന ബാൾ പോയിൻഡ് പേന ചുല്യാർഥിനു കൊടുത്തു. ചുല്യാർഥം എല്ലാവരെയും നോക്കി പറഞ്ഞു: “ഞാൻ മാഖുന്നും ഗാർധിയനിൽ പത്രപ്രവർത്തനം. തുടങ്ങുമ്പോൾ വേയ്ത്തിസുകാരനായ വൃഥൻ പത്രാധിപർ എപ്പോഴും പരയുമായിരുന്നു. നീല പെൻസിലാണു പത്രാധിപനാരുടെ ആയുധമെന്ന്. നീലപെൻസിലുകൾക്കു വംഗമരേങ്കിലും ഈ പേന, ഈ ആയുധം, ഞാനിന്നു ശരിക്കും പ്രയോഗിക്കും.”

ചുല്യാർഥം കുനിഞ്ഞുനിന്നു മേശപ്പുരിത്തു പരത്തിവച്ച പ്രധാന വാർത്തയ്ക്കു സുഹർ തലക്കെട്ടായി കംപ്യൂട്ടറിൽ ടെപ്പ് ചെയ്തിരുന്ന ‘തർക്കമന്തിരം തകർത്തു’ എന്നതിലെ ആദ്യത്തെ വാക്ക് ഉളിപ്പോലെ പേന മുറുക്കിപ്പിടിച്ചു പലതവണ വെട്ടി. എന്നിട്ടു വിറയ്ക്കുന്ന കൈകൊണ്ട്, പാർക്കിൻസൺസിസ്റ്റിക്കേർ ലാഞ്ചർന കലർന വലിയ അക്ഷരങ്ങളിൽ വെട്ടിയ വാക്കിൻ്റെ മുകളിൽ, എഴുതി: ‘ബാംഗി മസ്ജീദ്’.

സുഹർിയുടെ വലിയ കണ്ണുകളിൽനിന്നു ചരംപോലെ കണ്ണീർ തുള്ളി തുള്ളിയായി ഓലിച്ചു. അവൾ ചുല്യാർഥിനെ നോക്കി പറഞ്ഞു: “നമ്മി സർ.”

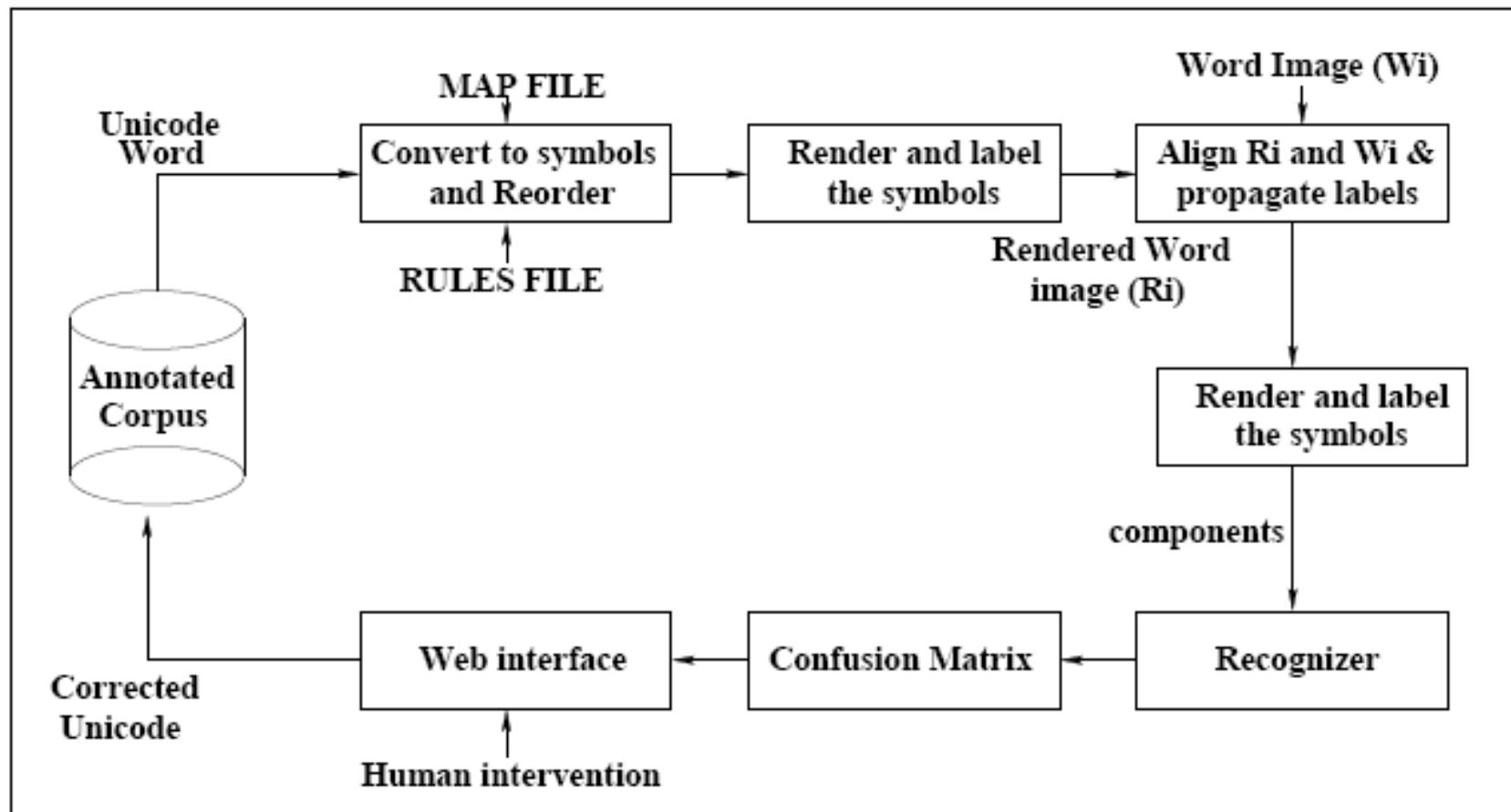
പനിയുടെ മറ്റാരു വേലിയേറ്റത്തിൽ തലതാഴ്ത്തി നടന്ന് ചുല്യാർഥം മുറിയിൽ കയറി വാതിലടയ്ക്കുന്നതുവരെ ന്യൂസിറ്റിമിൽ ഉണ്ടായിരുന്ന എല്ലാവരും അയാളെ അനങ്ങാതെ നോക്കിനിന്നു.

Book name : *Thiruttu*

Error rate : 26.84%  
Sub. Error : 10.85%



# Annotation correction



Procedure for annotation correction with the help of Recognizer.



# Recognition of Books by Verification and Retraining

- Digital libraries need the recognition of complete book.
- Books are typeset in the same font and style.
- We can use the samples from first few pages to learn the classifier, and obtain better performance over the rest of the collection.
- An automatic learning framework to improve the performance of the classifier over iteration.
- With the help of a high performance *verification module, which acts as a postprocessor* in the system.
- The *Verification module collects / verifies the samples from the book, labels and stores them.*

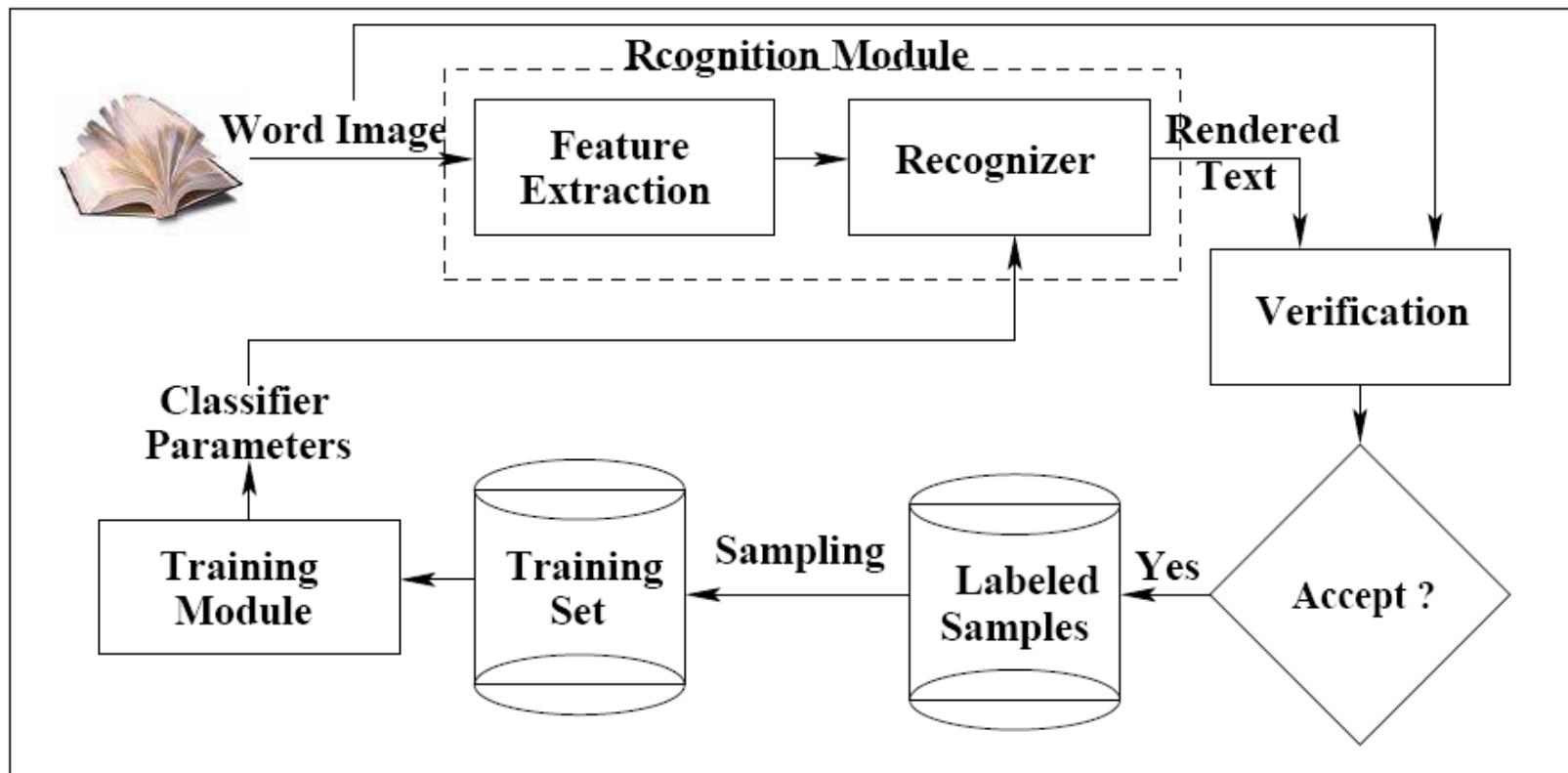


# Comparison between existing and proposed system

| <b><i>Traditional OCRs.</i></b>   | <b><i>Book Recognizer</i></b>   |
|---|---|
| • Designed for isolated pages.  | • Designed specifically for books/ large collection of documents.                                     |
| • Performance remains same over time – the classifier repeats the same mistake. | • Adapt the classifier to the font and style of the collection and improve the performance over time. |
| • Training is offline (apriori done) – no scope for improvement in performance. | • <i>New training data is introduced into the system without any manual intervention.</i>             |

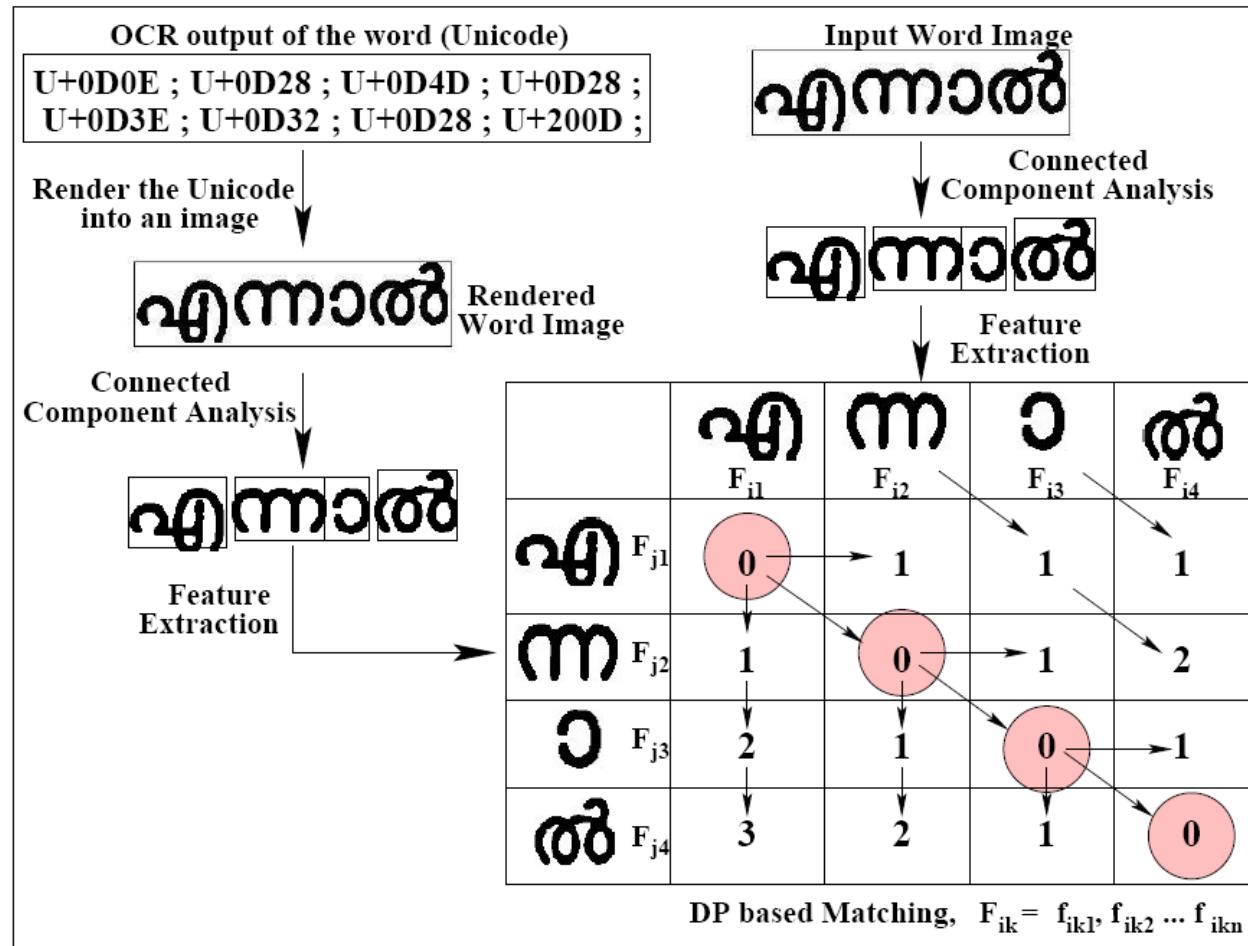


# Overview of the Book Recognition Scheme



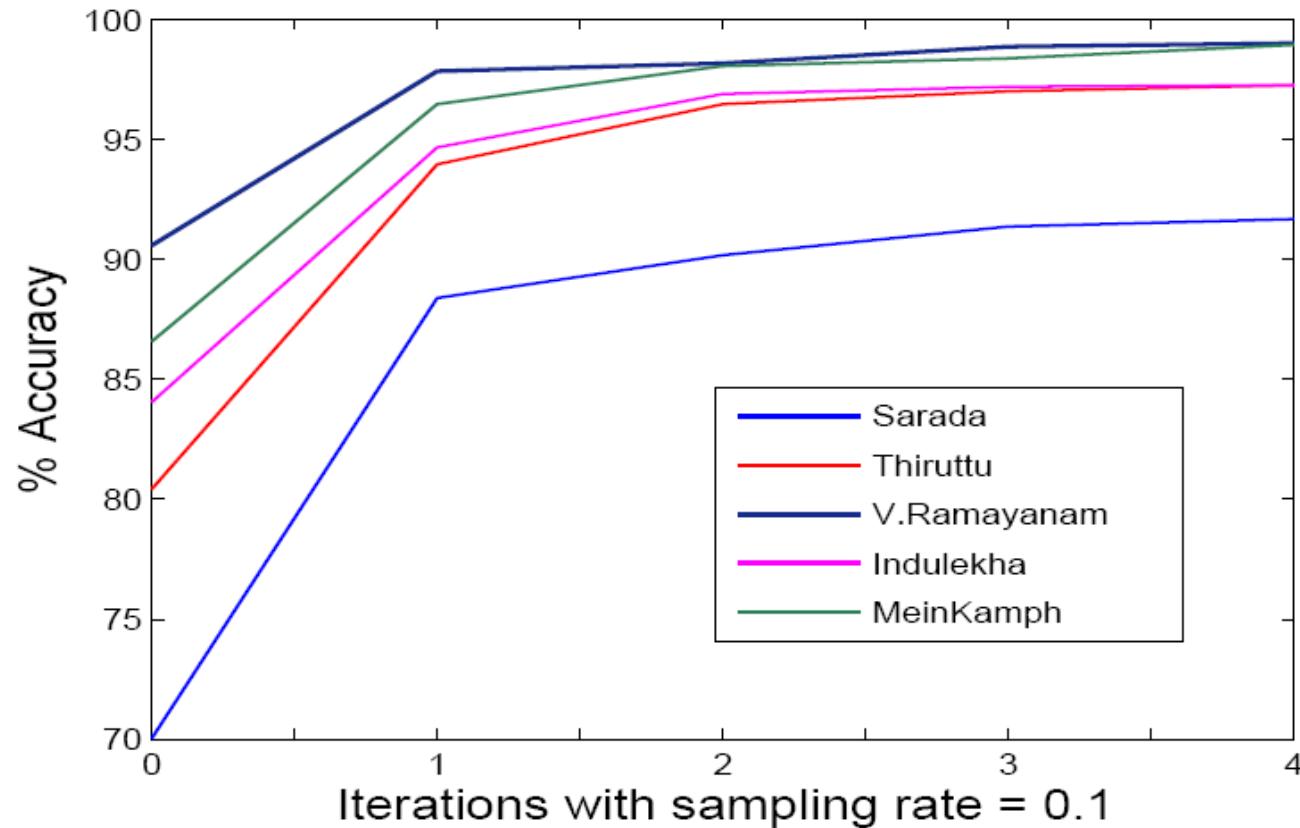


# An Example for DP based Verification





# Improvement in Recognition of books



- We obtain an average performance improvement of 14% in classification accuracies.



# Improvement in the Performance of a Book, with varying Sampling Rate.

| # Iteration | Sampling Rate |       |       |       |
|-------------|---------------|-------|-------|-------|
|             | 0.01          | 0.05  | 0.1   | 0.3   |
| 0           | 80.42         | 80.42 | 80.42 | 80.42 |
| 1           | 93.28         | 94.33 | 93.96 | 93.94 |
| 2           | 96.46         | 96.80 | 96.47 | 95.35 |
| 3           | 97.41         | 97.59 | 97.01 | 96.28 |
| 4           | 97.52         | 97.69 | 97.26 | 96.46 |

- The change in learning rate with the change in the sampling rate is marginal.



# Summary of the Work

- The major contributions of the work are :
  - Large dataset generation.
  - Approaches to solve large class problems.
  - Performance evaluation on a huge dataset (Malayalam books).
- We also extend our classifier to continuously improve the performance by providing feedback and retraining the classifier.



# Future Scope

- Extend the features and classifier to support more fonts.
- More script specific techniques at pre-processing stage.
- A strong post-processor based on language models. Also, a strong word recognizer as
  - a part of post-processor will improve the system.
- Degradation handing: To handle the spurious noise, cuts and merges in the characters.



Thank you 😊

Questions?